



Our Vision

Nambucca Valley ~ Living at its best.

Our Mission Statement

‘The Nambucca Valley will value and protect its natural environment, maintain its assets and infrastructure and develop opportunities for its people.’

1 Policy objective

The objective of this policy is to provide a framework for legislative compliance across all aspects of the Council’s operations in order to achieve the highest standards of good governance.

2 Related legislation

Refer to the Legislative Compliance Register databases maintained by Local Government Legal and Relliansys.

3 Policy statement

3.1 General Principles

Council is committed to the following principles based on the Australian Standard AS 3806-2006 Compliance Programs: -

- Council, as the organisation’s governing body, and the Council’s senior staff are committed to achieving compliance in all areas of its operations
- Council will maintain a Legislative Compliance Policy that outlines its commitment to compliance with applicable Acts, Regulations, codes and Council standards
- Council is committed to providing sufficient resources to ensure that its Compliance Program can be implemented, maintained and continuously improved
- Council officials will ensure that they understand, promote and be responsible for compliance with relevant laws, regulations, codes and Council standards and policies that apply to activities within their day-today responsibilities
- Competency and training needs are to be identified and addressed to assist staff members to fulfil their compliance obligations
- Corporate Services will maintain a Compliance Register
- Established risk management practices will be used to accurately identify, rate and manage compliance risks
- Management and staff will ensure that compliance requirements are integrated into day-to-day operating procedures as appropriate

- Compliance failures will be investigated, rectified and reported to the General Manager
- The importance of legislative compliance will be actively promoted to contractors and thirds parties having dealings with the Council
- Council will monitor its legislative compliance through its Internal Audit Plan
- The legislative compliance will be reviewed annually to ensure its effectiveness

4. Roles and Responsibilities

- All Council officials have a responsibility to comply with this policy.
- The General Manager is responsible for the establishing and maintaining of procedures under this Policy.
- Internal and External audit functions have responsibilities for the auditing of compliance with this Policy.
- The General Manager will ensure that adequate training is provided to relevant persons to ensure that they are aware of their obligations under this policy.

5. Review

Corporate Services is responsible for the review and issue of this policy every three years. The General Manager, or their delegate, is authorised to approve variations to this policy in order to comply with legislative requirements. Any variation made will be reported to Council.

6. Definitions

Compliance	Measuring the degree to which the requirements of Acts, Regulations, Codes and Council standards and policies are met
Codes	Mandatory industry codes and voluntary Industry codes with which Council chooses to comply
Compliance Failure	A breach of an Act, Regulation, Code, Council standards
Compliance Culture	The promotion of a positive attitude towards compliance within the Council
Council standards	Any code, policy, procedure, guideline or practice that Council may deem to be an appropriate standard for its day-to-day operations

7. History

New Policy

Department:	Governance	Last Reviewed	Resolution Number
Policy Category	Organisational	New Policy	
Endorsed By:	AGMCS	11 October 22	By AGMCS
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Policy Owner	AGMCS		
Contact Officer	AGMCS		
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